

References and additional readings

Ethics and Sustainability Governance in International Value Chains, summer semester 2018

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Note: The bolded text is the required reading, the other readings are voluntary. All these materials are uploaded on LearnWeb under their respective Lecture heading.

Lecture 1 – Introduction (10.04.2018)

Sweeney (2012). How to read for grad school

Falkner, R. (2003). Private environmental governance and international relations: exploring the links. *Global Environmental Politics*, 3(2), 72-87.

Lecture 2 – Concepts and frameworks of sustainability governance (17.04.2018)

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Benn, S., & Dunphy, D. (2005). Towards new forms of governance for issues of sustainability: Renewing relationships between corporates, government and community. *Electronic Journal of Radical Organisation Theory*, 9(1), 52.

Lecture 3 – The concept and implementation of Corporate Social Responsibility (24.04.2018)

Freeman (2007): Managing for Stakeholders. The Darden School, University of Virginia.

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Crane, A., Palazzo, G., Spence, L. J., & Matten, D. (2014). Contesting the value of “creating shared value”. *California Management Review*, 56(2), 130-153.

Friedman, M. (2007). The social responsibility of business is to increase its profits. In *Corporate Ethics and Corporate Governance* (pp. 173-178). Springer: Berlin.

Kramer, M. R., & Porter, M. (2011). Creating shared value. *Harvard Business Review*, 89(1/2), 62-77.

Lecture 4 – Firm-level corporate sustainability governance in action (01.05.2018)

Chandrasekhar (2015). Nestlé SA: The Nescafé Plan in China. Richard Ivey School of Business Foundation.

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Dauvergne, P., & Lister, J. (2012). Big brand sustainability: Governance prospects and environmental limits. *Global Environmental Change*, 22(1), 36-45.

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Lecture 5 – Non-state market-driven governance (certifications) and their impact (08.05.2018)

Cashore, B. (2002). Legitimacy and the privatization of environmental governance: How non-state market-driven (NSMD) governance systems gain rule-making authority. *Governance*, 15(4), 503-529.

Bernstein, S., & Cashore, B. (2007). Can non-state global governance be legitimate? An analytical framework. *Regulation & Governance*, 1(4), 347-371.

Sanderson Bellamy, A., Svensson, O., van den Brink, P. J., & Tedengren, M. (2016). What is in a label? Rainforest-Alliance certified banana production versus non-certified conventional banana production. *Global Ecology and Conservation*, 7, 39-48.

Lecture 6 – Corporation-NGO collaboration and contested value regimes (15.05.2018)

Burchell, J., & Cook, J. (2013). Sleeping with the enemy? Strategic transformations in business-NGO relationships through stakeholder dialogue. *Journal of Business Ethics*, 113(3), 505-518.

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Levy, D., Reinecke, J., & Manning, S. (2015). The political dynamics of sustainable coffee: Contested value regimes and the transformation of sustainability. *Journal of Management Studies*.

Lecture 7 – Industry Roundtables and sector-based governance (29.05.2018)

Schouten, G., Leroy, P., & Glasbergen, P. (2012). On the deliberative capacity of private multi-stakeholder governance: the roundtables on responsible soy and sustainable palm oil. *Ecological Economics*, 83, 42-50.

García-López, G. A., & Arizpe, N. (2010). Participatory processes in the soy conflicts in Paraguay and Argentina. *Ecological Economics*, 70(2), 196-206.

Ponte, S., & Cheyns, E. (2013). Voluntary standards, expert knowledge and the governance of sustainability networks. *Global Networks*, 13(4), 459-477.

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Lecture 8 – Supranational governance of sustainability (05.06.2018)

Fuchs, D. A., & Lorek, S. (2005). Sustainable consumption governance: A history of promises and failures. *Journal of Consumer Policy*, 28(3), 261-288.

Haack, P., & Scherer, A. G. (2014). Why sparing the rod does not spoil the child: A critique of the “strict father” model in transnational governance. *Journal of Business Ethics*, 122(2), 225-240.

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Lecture 9 – International sustainability governance in trade – WTO and beyond (12.06.2018)

Kolben, K. (2010). The WTO Distraction. *Stanford Law & Policy Review*, 21(3), 461.

Moran, N. (2017). The First Twenty Cases Under GATT Article XX: Tuna or Shrimp Dear?, in: *International Economic Law*. Springer, Cham, pp. 3–21.

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Lecture 10 – National command-and-control regulation of sustainability (19.06.2018)

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Bergquist, A. K., Söderholm, K., Kinneryd, H., Lindmark, M., & Söderholm, P. (2013). Command-and-control revisited: Environmental compliance and technological change in Swedish industry 1970–1990. *Ecological Economics*, 85, 6-19.

Steinzor, R. I. (1998). Reinventing environmental regulation: the dangerous journey from command to self-control. *Harvard Environmental Law Review*, 22, 103.

Lecture 11 – National market-based instruments of sustainability governance (cap-and-trade and Payments for Ecosystem Services) (26.06.2018)

Lambin, E.F., Meyfroidt, P., Rueda, X., Blackman, A., Börner, J., Cerutti, P.O., Dietsch, T., Jungmann, L., Lamarque, P., Lister, J. & Walker, N.F. (2014). Effectiveness and synergies of policy instruments for land use governance in tropical regions. *Global Environmental Change*, 28, 129-140.

Keohane, N. O. (2009). Cap and trade, rehabilitated: Using tradable permits to control US greenhouse gases. *Review of Environmental Economics and Policy*, 3(1), 42-62.

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Lecture 12 – Local governance of the commons (03.07.2018)

Bakker, K. (2007). The “commons” versus the “commodity”: Alter-globalization, anti-privatization and the human right to water in the global south. *Antipode*, 39(3), 430-455.

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Lecture 13 – When the public and private meet: Public-private partnerships and public-private governance interactions (10.07.2018)

Eberlein, B., Abbott, K. W., Black, J., Meidinger, E., & Wood, S. (2014). Transnational business governance interactions: Conceptualization and framework for analysis. *Regulation & Governance*, 8(1), 1-21.

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Miraftab, F. (2004). Public-private partnerships: The Trojan horse of neoliberal development?. *Journal of Planning Education and Research*, 24(1), 89-101.

UNFSS (2016). Meeting sustainability goals. Voluntary Sustainability Standards and the role of the government. United Nation Forum on Sustainability Standards.

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